

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
November 17 2023**

**Daniel Joseph Evans**

**Strategy Cap LLC  
360 SW Powerhouse Drive, Suite 300  
Bend, Oregon 97702  
[www.StrategyCapLLC.com](http://www.StrategyCapLLC.com)**

**Firm Contact:  
Daniel Evans  
Chief Compliance Officer**

**Contact Information:**

Daniel Evans: [danny@strategycapllc.com](mailto:danny@strategycapllc.com); office phone: 541.585.3737 x1; cell: 541.610.9889

Jared Pearson: [jared@strategycapllc.com](mailto:jared@strategycapllc.com); office phone: 541.585.3737 x 2

This brochure supplement provides information about Daniel Evans that supplements our brochure. You should have received a copy of that brochure. Please contact Daniel Evans if you did not receive Strategy Cap LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Evans is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #2558673.

## Item 2: Educational Background & Business Experience

**Daniel Joseph Evans**

**Year of Birth:** 1969

### **Educational Background:**

- 1991: St. Bonaventure University; Bachelor of Science in Economics & Philosophy Minor
- 1994: S.U.N.Y at Buffalo; Juris Doctor in Law
- 1994: S.U.N.Y at Buffalo; Master of Business Administration

### **Business Background:**

- 10/2022 – Present Strategy Cap LLC; Managing Partner & Chief Compliance Officer
- 12/2013 – 12/2022 Cambridge Investment Research, Inc.; Registered Representative
- 12/2013 – 12/2022 Cambridge Investment Research Advisors, Inc.; Investment Adviser Representative
- 05/2005 – 12/2013 Commonwealth Financial Network; Registered Representative & Investment Adviser Representative
- 05/2005 – 12/2013 Brooks Financial Advisors; Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 10/2004: Series 7 Exam
- 12/2004: Series 66 Exam
- 09/2009: Life & Health Insurance License
- 10/2018: SIE Exam

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Evans.

## Item 4: Other Business Activities

Mr. Evans may offer insurance products and receive customary fees as a result of these insurance sales. A conflict of interest exists as these insurance sales create an incentive to recommend products based on the compensation Mr. Evans may earn.. To mitigate this potential conflict, Mr. Evans, as a fiduciary, will act in the client's best interest.

Mr. Evans was formerly a practicing attorney and is currently in good standing as a licensed attorney, inactive status, in the State of North Carolina. Legal services are not offered through our firm. Should a client of our firm require legal services, they will be referred to a separate attorney. Our firm will not receive any additional compensation for these referrals.

### **Item 5: Additional Compensation**

Mr. Evans does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Jared Pearson is a Managing Partner of Strategy Cap LLC and as such supervises and monitors Mr. Evans's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Pearson if you have any questions about Mr. Evans's brochure supplement at (541) 585-3737.

### **Item 7: Requirements for State-Registered Advisers**

Mr. Evans has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has he been the subject of any bankruptcy petitions.

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**Jared Andrew Pearson**

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Bend, Oregon 97702  
[www.StrategyCapLLC.com](http://www.StrategyCapLLC.com)**

**Firm Contact:  
Daniel Evans  
Chief Compliance Officer**

**Contact Information:**

Daniel Evans: [danny@strategycapllc.com](mailto:danny@strategycapllc.com); office phone: 541.585.3737 x1; cell: 541.610.9889

Jared Pearson: [jared@strategycapllc.com](mailto:jared@strategycapllc.com); office phone: 541.585.3737 x 2

This brochure supplement provides information about Jared Pearson that supplements our brochure. You should have received a copy of that brochure. Please contact Daniel Evans if you did not receive Strategy Cap LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Pearson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4549349.

## Item 2: Educational Background & Business Experience

**Jared Andrew Pearson**

**Year of Birth:** 1978

### **Educational Background:**

- 2001: George Fox University; Bachelor of Science in Interdisciplinary Studies

### **Business Background:**

- 10/2022 – Present Strategy Cap LLC; Managing Partner
- 12/2013 – 12/2022 Cambridge Investment Research, Inc.; Registered Representative
- 12/2013 – 12/2022 Cambridge Investment Research, Inc.; Investment Adviser Representative
- 05/2005 – 12/2013 Commonwealth Financial Network; Registered Representative & Investment Adviser Representative
- 05/2005 – 12/2013 Brooks Financial Advisors; Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 07/2002: Series 7 & Series 63 Exam
- 03/2002: Life & Health Insurance License
- 05/2003: Series 66 Exam
- 11/2004: Certified Fund Specialist (CFS®)
- 10/2018: SIE Exam

### **Certified Fund Specialist (CFS®)**

The CFS® designation is made available through the Institute of Business & Finance (“IBF”). Candidates must have a Bachelor's degree or 2,000 hours of financial services work experience. The CFS program consists of 6 modules: (1) Regulation, Structure, Expenses, and Titling; (2) Management and Fund Selection, Risk Management, Equities, Fixed-Income & Hybrids; (3) Tax-Free Bonds, Money Market, Specialty Categories, and Time Value Analysis; (4) Asset Categories, Market Indicators, CEFs, ETFs, and REITs; (5) Risk, Timing the Market, When to Sell, and Taxation; (6) Basics of Asset Allocation, The Efficient Frontier, Optimal Asset Allocation, Core-Satellite Strategy, Modern Portfolio Theory (“MPT”). 30 hours of continuing education are required every 2 years in order to maintain this designation.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Pearson.

#### **Item 4: Other Business Activities**

Mr. Pearson may offer insurance products and receive customary fees as a result of these insurance sales. A conflict of interest exists as these insurance sales create an incentive to recommend products based on the compensation Mr. Pearson may earn. To mitigate this potential conflict, Mr. Pearson, as a fiduciary, will act in the client's best interest.

#### **Item 5: Additional Compensation**

Mr. Pearson does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Daniel Evans, Managing Partner & Chief Compliance Officer of Strategy Cap LLC, supervises and monitors Mr. Pearson's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Evans if you have any questions about Mr. Pearson's brochure supplement at 541-585-3737.

#### **Item 7: Requirements for State-Registered Advisers**

Mr. Pearson has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.